

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

VO-564

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

**10/088392**

INTERNATIONAL APPLICATION NO.

PCT/EP00/08043

INTERNATIONAL FILING DATE

17 August 2000

PRIORITY DATE CLAIMED

17 September 1999

TITLE OF INVENTION

METHOD FOR THE PRODUCTION OF PRINTED SURFACES

APPLICANT(S) FOR DO/EO/US

Ingo BECKMANN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND OR SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment. (8 pages)  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☒ A substitute specification. (attached to a red-ink marked-up version of the English language translation)
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - Form PCT/IB/301
    - Form PCT/IB/304
    - Form PCT/IB/308
    - Form PCT/ISA/210 (English language version, 3 pages)
    - Transmittal of Substitute Specification
    - Certificate of Mailing by Express Mail (2 pages)
    - Return Receipt Postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

10/088392

PCT/EP00/08043

VG-564

17. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 740.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 1,040.00International preliminary examination fee paid to USPTO (37 CFR 1.482) and  
all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00**CALCULATIONS**

PTO USE ONLY

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 * - 20 =	0	X \$18.00	\$	
Independent claims	1 - 03 =	0	X \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00		

**TOTAL OF ABOVE CALCULATIONS =**

\$ 890.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).**SUBTOTAL =**

\$ 890.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

+

**TOTAL NATIONAL FEE =**

\$ 890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an  
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$ 890.00

\* Based upon entry of the First Preliminary Amendment.

Amount to be:

refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 890.00 to cover the above fee is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 19-3550. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Pauley Petersen Kinne & Erickson  
2800 West Higgins Road, Suite 365  
Hoffman Estates, Illinois 60195  
(847) 490-1400  
Fax: (847) 490-1403

SIGNATURE

Douglas H. Pauley

NAME

33,295

REGISTRATION NUMBER

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a)(1))-INDEPENDENT INVENTOR (A PERSON)**

Docket Number  
VO-564

Applicant or Patentee: Ingo BECKMANN et al.

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: METHOD FOR THE PRODUCTION OF PRINTED SURFACES

As a below named inventor, I hereby declare that I qualify as an independent inventor (a person) as defined in 37 CFR 1.27(a)(1), for purposes of paying reduced fees to the United States Patent and Trademark Office, with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
- ☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Ingo BECKMANN  
NAME OF INVENTOR

X [Signature]  
Signature of Inventor

X 14.03.2002  
Date

Jan KAMP  
NAME OF INVENTOR

X [Signature]  
Signature of Inventor

X 14.03.2002  
Date

Stephan MEUTER  
NAME OF INVENTOR

X [Signature]  
Signature of Inventor

X 14.03.02  
Date

10/088392

JC10 Rec'd PCT/PTO 18 MAR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ingo BECKMANN  
Jan KAMP  
Stephan MEUTER

Title: METHOD FOR THE PRODUCTION OF PRINTED SURFACES

Based Upon: PCT/EP00/08043

Express Mail No.: EL859245022US

Date of Deposit: 18 March 2002

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Box PCT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EL859245022US, on 18 March 2002, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

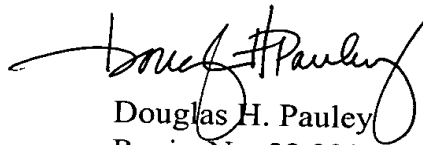
- Transmittal Letter (Form PTO-1390), in duplicate
- English language translation of the International Application
- First Preliminary Amendment (8 pages)

Based Upon: PCT/EP00/08043

JC10 Rec'd PCT/PTC 1 8 MAR 2002

- Transmittal of Substitute Specification
- Substitute Specification attached to red ink marked-up copy of the verified English language translation
- Form PCT/IB/301
- Form PCT/IB/304
- Form PCT/IB/308
- English language copy of the International Search Report, Form PCT/ISA/210 - 3 pages
- A check in the amount of \$890.00 to cover the fees set forth in the Transmittal Letter
- Return Receipt Postcard

Respectfully submitted,

  
Douglas H. Pauley  
Regis. No. 33,295

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